



06-23-06

CJA

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|   |                      |                        |         |
|---|----------------------|------------------------|---------|
| <b>TRANSMITTAL<br/>FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 10/716,300             |         |
|   | Filing Date          | November 18, 2003      |         |
|   | First Named Inventor | Thomas D. Radcliff     |         |
|   | Art Unit             | 3748                   |         |
|   | Examiner Name        | Hoang Nguyen           |         |
| Total Number of Pages in This Submission  | 6                    | Attorney Docket Number | 965_021 |

**ENCLOSURES (check all that apply)**

|  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s)<br><br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Form PTO/SB/44 Certificate of Correction (1 pg. in duplicate); Request for Certificate of Correction Due to Applicant's Error (2 pgs.); Check in the amount of \$100 (1 pg.); Return Mailroom Postcard. |
| Remarks  |  | The Commissioner is authorized to charge any additional fees to Deposit Account No. <u>50-0289</u> .   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                             |                 |
|-------------------------|-----------------------------|-----------------|
| Firm or Individual name | Wall Marjama & Bilinski LLP | Reg. No. 26,441 |
| Signature               |                             |                 |
| Date                    | June 22, 2006               |                 |

Certificate  
JUN 27 2006  
of Correction**CERTIFICATE OF MAILING**

|  |                 |                       |
|--|-----------------|-----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addressee service per 37 CFR 1.10 under Express Mail No. EV678065337US addressed to Commissioner for Patents, Attention Certificate of Corrections Branch, P.O. Box 1450, Alexandria, VA 22313-1450 on June 22, 2006. |                 |                       |
| Typed or printed name  | Kristen Jackson |                       |
| Signature  |                 | Date<br>June 22, 2006 |

JUN 28 2006



Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

PTO/SB/17 (01-06)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JUN 22 2006 pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL  
For FY 2006****Complete if Known**

|                      |                           |
|----------------------|---------------------------|
| Application Number   | 10/716,300                |
| Filing Date          | November 18, 2003         |
| First Named Inventor | Thomas D. Radcliff et al. |
| Examiner Name        | Hoang Nguyen              |
| Art Unit             | 3748                      |
| Attorney Docket No.  | 965_021                   |

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$100.00

Express Mail Label No. EV678065337US

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-0289 Deposit Account Name: Wall Marjama & Bilinski LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments ☒ Credit any overpayments  
of fee(s) under 37 CFR 1.16 and 1.17**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.****FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description  | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues)                              | 50       | 25                    |
| Each independent claim over 3 (including Reissues)                   | 200      | 100                   |
| Multiple dependent claims  | 360      | 180                   |
| Total Claims   |          |                       |
| - 20 or HP =   | x        | =                     |
| HP= highest paid number of total claims paid for, if greater than 20 |          |                       |
| Indep. Claims  |          |                       |
| - 3 or HP =  | x        | =                     |
| HP =highest number of independent claims paid for, if greater than 3 |          |                       |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a) (1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fees Paid (\$) |
|--------------|--------------|--|----------|----------------|
| - 100 =      | / 50 =       | (round up to a whole number) x                   | =        |                |

**4. OTHER FEES**

| Non-English Specification, \$130 fee (no small entity discount) | Fees Paid (\$) |
|---|----------------|
| Other (e.g., late filing surcharge): Certificate of Correction  | \$100.00       |

**SUBMITTED BY**

|                                   |  |                        |
|-----------------------------------|--|------------------------|
| Signature                         | Registration No. 26,441 (Attorney/Agent) | Telephone 315-425-9000 |
| Name (Print/Type) Dana F. Bigelow |  | Date June 22, 2006     |

JUN 28 2006



Express Mail No. : EV678065337US

Attorney Docket No.: 965\_021

PATENT

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): Thomas D. Radcliff et al.

Patent No.: 7,013,644

Issued: March 21, 2006

Serial No.: 10/716,300

Filed: November 18, 2003

Customer: 20874

Title: ORGANIC RANKINE CYCLE SYSTEM WITH SHARED HEAT  
EXCHANGER FOR USE WITH A RECIPROCATING ENGINE

Attention Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 22, 2006, under "EXPRESS MAIL" mailing label number EV678065337US.

Kristen Jackson

**REQUEST FOR CERTIFICATE OF  
CORRECTION DUE TO APPLICANT'S ERROR**

Sir:

Applicant has noted a typographical error in the recently issued patent, U.S. Patent No. 7,013,644. In claim 7, column 4, line 34, the numbers representing the engine fluid temperature range are missing. Please replace with --160° to 200°F--. This mistake was noted by the Examiner in the Notice of Allowance, however it was never corrected. Applicant wishes at this time to correct this obvious typographical error in the claims.

This error is believed to be of "minor character" and the correction of this mistake does not in any way alter nor materially affect the scope or meaning of the patent or the present inventorship. The mistake was made without any intent on the part of the Applicant to deceive or mislead the Office or others.

06/26/2006 BABRAX01 03022250 502209 7013644  
01 FC:1011 103.03 DA

JUN 22 2006

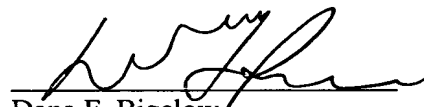
Applicant, therefore, pursuant to 37 CFR §1.323 and MPEP §1481, requests that a Certificate of Correction be issued in accordance with the form PTO/SB/44 submitted herewith in duplicate. Applicant has submitted herewith the requisite fee under 37 CFR §1.20(a).

**Authorization To Charge Necessary Fees**

The Commissioner is hereby authorized to charge any additional necessary fees associated with this submission, or credit any overpayment, to Deposit Account No. 50-0289.

Respectfully submitted,

Dated: 6/22/06

  
Dana F. Bigelow  
Reg. No. 26,441

WALL MARJAMA & BILINSKI LLP  
101 South Salina Street, Suite 400  
Syracuse, New York 13202  
Telephone: (315) 425-9000  
Facsimile: (315) 425-9114

Customer No.: **20874**  
PATENT TRADEMARK OFFICE

JUN 28 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO.: 7,013,644

APPLICATION NO.: 10/716,300

ISSUE DATE: March 21, 2006

INVENTOR(S): Thomas D. Radcliff et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Claim 7, Line 34:

The numbers representing the engine fluid temperature range are missing. Please replace with --160° to 200°F--.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Wall Marjama & Bilinski LLP  
101 South Salina Street, Suite 400  
Syracuse, NY 13202

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXPRESS MAIL Mailing Label No.: EV678065337US

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO.: 7,013,644

APPLICATION NO.: 10/716,300

ISSUE DATE: March 21, 2006

INVENTOR(S): Thomas D. Radcliff et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Claim 7, Line 34:

The numbers representing the engine fluid temperature range are missing. Please replace with --160° to 200°F--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Wall Marjama & Bilinski LLP  
101 South Salina Street, Suite 400  
Syracuse, NY 13202

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXPRESS MAIL Mailing Label No.: EV678065337US

JUN 28 2006